



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: \_\_\_\_\_  
Source: \_\_\_\_\_  
Date Processed by STIC: \_\_\_\_\_

10/796,868  
IFWO  
3/23/04

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 703-308-4212; FAX: 703-308-4221

Effective 12/13/03: TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE SEE BELOW FOR ADDRESS.

<http://www.uspto.gov/web/offices/pac/checker/chkr41note.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses

1. EFS-Bio (<<http://www.uspto.gov/efc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry directly to (EFFECTIVE 12/01/03):  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 10/08/03

## Raw Sequence Listing Error Summary

### ERROR DETECTED

### SUGGESTED CORRECTION

SERIAL NUMBER: 10/796,868

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1      Wrapped Nucleics    The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 1      Wrapped Aminos
- 2      Invalid Line Length    The rules require that a line not exceed 72 characters in length. This includes white spaces.
- 3      Misaligned Amino    The numbering under each 5<sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
- 3      Numbering
- 4      Non-ASCII    The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
- 5      Variable Length    Sequence(s)      contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6      PatentIn 2.0    A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s)     . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 6      "bug"
- 7      Skipped Sequences    Sequence(s)      missing. If intentional, please insert the following lines for each skipped sequence:  
(OLD RULES)    (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
                  (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
                  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
                  This sequence is intentionally skipped  
  
Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
- 8      Skipped Sequences    Sequence(s)      missing. If intentional, please insert the following lines for each skipped sequence.  
(NEW RULES)    <210> sequence id number  
                  <400> sequence id number  
                  000
- 9      Use of n's or Xaa's    Use of n's and/or Xaa's have been detected in the Sequence Listing.  
(NEW RULES)    Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  
                  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 10    Invalid <213>    Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or  
Response    scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
- 11      Use of <220>    Sequence(s)      missing the <220> "Feature" and associated numeric identifiers and responses.  
                  Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  
                  (Sec "Federal Register," 07/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
- 12      PatentIn 2.0    Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file,  
"bug"    resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13      Misuse of n/Xaa    "n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid



IFWO

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/796,868

DATE: 03/23/2004

TIME: 10:57:50

Input Set : A:\Sequence Listing 0942.285000K.ST25.txt

Output Set: N:\CRF4\03232004\J796868.raw

3 <110> APPLICANT: Hartley, James L.  
 4     Brasch, Michael A.  
 6 <120> TITLE OF INVENTION: Recombinational Cloning Using Engineered Recombination Sites  
 8 <130> FILE REFERENCE: 0942.285000K  
 C--> 10 <140> CURRENT APPLICATION NUMBER: US/10/796,868  
 C--> 10 <141> CURRENT FILING DATE: 2004-03-10  
 10 <150> PRIOR APPLICATION NUMBER: US 09/498,074  
 11 <151> PRIOR FILING DATE: 2000-02-04  
 13 <150> PRIOR APPLICATION NUMBER: US 09/005,476  
 14 <151> PRIOR FILING DATE: 1998-01-12  
 16 <150> PRIOR APPLICATION NUMBER: US 08/663,002  
 17 <151> PRIOR FILING DATE: 1996-06-07  
 19 <150> PRIOR APPLICATION NUMBER: US 08/486,139  
 20 <151> PRIOR FILING DATE: 1995-06-07  
 22 <160> NUMBER OF SEQ ID NOS: 35  
 24 <170> SOFTWARE: PatentIn version 3.2  
 26 <210> SEQ ID NO: 1  
 27 <211> LENGTH: 25  
 28 <212> TYPE: DNA  
 29 <213> ORGANISM: Unknown  
 31 <220> FEATURE:  
 32 <223> OTHER INFORMATION: m-att core region  
 35 <220> FEATURE:  
 36 <221> NAME/KEY: misc\_feature  
 37 <222> LOCATION: (18)..(18)  
 38 <223> OTHER INFORMATION: n is a, c, g, or t/u  
 40 <400> SEQUENCE: 1  
 W--> 41 rkycwgcttt yktrtacnaa stsgb 25  
 44 <210> SEQ ID NO: 2  
 45 <211> LENGTH: 25  
 46 <212> TYPE: DNA  
 47 <213> ORGANISM: Unknown  
 49 <220> FEATURE:  
 50 <223> OTHER INFORMATION: m-attB core region  
 53 <220> FEATURE:  
 54 <221> NAME/KEY: misc\_feature  
 55 <222> LOCATION: (18)..(18)  
 56 <223> OTHER INFORMATION: n is a, c, g, or t/u  
 58 <400> SEQUENCE: 2  
 W--> 59 agccwgcttt yktrtacnaa ctsgb 25  
 62 <210> SEQ ID NO: 3  
 63 <211> LENGTH: 25  
 64 <212> TYPE: DNA

Does Not Comply  
 Corrected Diskette Needed  
 (pg.3)

## RAW SEQUENCE LISTING

DATE: 03/23/2004

PATENT APPLICATION: US/10/796,868

TIME: 10:57:50

Input Set : A:\Sequence Listing 0942.285000K.ST25.txt

Output Set: N:\CRF4\03232004\J796868.raw

```

65 <213> ORGANISM: Unknown
67 <220> FEATURE:
68 <223> OTHER INFORMATION: m-attR core region
71 <220> FEATURE:
72 <221> NAME/KEY: misc_feature
73 <222> LOCATION: (18)..(18)
74 <223> OTHER INFORMATION: n is a, c, g, or t/u
76 <400> SEQUENCE: 3
W--> 77 gttcagcttt cktrtacnaa ctsgb 25
80 <210> SEQ ID NO: 4
81 <211> LENGTH: 25
82 <212> TYPE: DNA
83 <213> ORGANISM: Unknown
85 <220> FEATURE:
86 <223> OTHER INFORMATION: m-attL core region
89 <220> FEATURE:
90 <221> NAME/KEY: misc_feature
91 <222> LOCATION: (18)..(18)
92 <223> OTHER INFORMATION: n is a, c, g, or t/u
94 <400> SEQUENCE: 4
W--> 95 agccwgccttt cktrtacnaa gtsgb 25
98 <210> SEQ ID NO: 5
99 <211> LENGTH: 25
100 <212> TYPE: DNA
101 <213> ORGANISM: Unknown
103 <220> FEATURE:
104 <223> OTHER INFORMATION: m-attP1 core region
107 <220> FEATURE:
108 <221> NAME/KEY: misc_feature
109 <222> LOCATION: (18)..(18)
110 <223> OTHER INFORMATION: n is a, c, g, or t/u
112 <400> SEQUENCE: 5
W--> 113 gttcagcttt yktrtacnaa gtsgb 25
116 <210> SEQ ID NO: 6
117 <211> LENGTH: 25
118 <212> TYPE: DNA
119 <213> ORGANISM: Unknown
121 <220> FEATURE:
122 <223> OTHER INFORMATION: attB1 core region
124 <400> SEQUENCE: 6
125 agcctgcttt tttgtacaaa cttgt 25
128 <210> SEQ ID NO: 7
129 <211> LENGTH: 25
130 <212> TYPE: DNA
131 <213> ORGANISM: Unknown
133 <220> FEATURE:
134 <223> OTHER INFORMATION: attB2 core region
136 <400> SEQUENCE: 7
137 agcctgcttt cttgtacaaa cttgt 25

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## RAW SEQUENCE LISTING

DATE: 03/23/2004

PATENT APPLICATION: US/10/796,868

TIME: 10:57:50

Input Set : A:\Sequence Listing 0942.285000K.ST25.txt

Output Set: N:\CRF4\03232004\J796868.raw

140 <210> SEQ ID NO: 8  
 141 <211> LENGTH: 25  
 142 <212> TYPE: DNA  
 143 <213> ORGANISM: Unknown  
 145 <220> FEATURE:  
 146 <223> OTHER INFORMATION: attB3 core region  
 148 <400> SEQUENCE: 8  
 149 acccagcttt cttgtacaaa cttgt 25  
 152 <210> SEQ ID NO: 9  
 153 <211> LENGTH: 25  
 154 <212> TYPE: DNA  
 155 <213> ORGANISM: Unknown  
 157 <220> FEATURE:  
 158 <223> OTHER INFORMATION: attR1 core region  
 160 <400> SEQUENCE: 9  
 161 gttcagcttt tttgtacaaa cttgt 25  
 164 <210> SEQ ID NO: 10  
 165 <211> LENGTH: 25  
 166 <212> TYPE: DNA  
 167 <213> ORGANISM: Unknown  
 169 <220> FEATURE:  
 170 <223> OTHER INFORMATION: attR2 core region  
 172 <400> SEQUENCE: 10  
 173 gttcagcttt cttgtacaaa cttgt 25  
 176 <210> SEQ ID NO: 11  
 177 <211> LENGTH: 25  
 178 <212> TYPE: DNA  
 179 <213> ORGANISM: attR3 core region  
 181 <400> SEQUENCE: 11  
 182 gttcagcttt cttgtacaaa gttgg  
 185 <210> SEQ ID NO: 12  
 186 <211> LENGTH: 25  
 187 <212> TYPE: DNA  
 188 <213> ORGANISM: Unknown  
 190 <220> FEATURE:  
 191 <223> OTHER INFORMATION: attL1 core region  
 193 <400> SEQUENCE: 12  
 194 agcctgcttt tttgtacaaa gttgg  
 197 <210> SEQ ID NO: 13  
 198 <211> LENGTH: 25  
 199 <212> TYPE: DNA  
 200 <213> ORGANISM: Unknown  
 202 <220> FEATURE:  
 203 <223> OTHER INFORMATION: attL2 core region  
 205 <400> SEQUENCE: 13  
 206 agcctgcttt cttgtacaaa gttgg  
 209 <210> SEQ ID NO: 14  
 210 <211> LENGTH: 25  
 211 <212> TYPE: DNA

Invalid  
Response

Mandatory

<213> Response has  
to be Artificial/Unknown  
OR Genus Species.

please see item  
#10 on error summary  
sheet.

The type of errors shown exist throughout  
the Sequence Listing. Please check subsequent  
sequences for similar errors.

## RAW SEQUENCE LISTING

DATE: 03/23/2004

PATENT APPLICATION: US/10/796,868

TIME: 10:57:50

Input Set : A:\Sequence Listing 0942.285000K.ST25.txt

Output Set: N:\CRF4\03232004\J796868.raw

```

212 <213> ORGANISM: Unknown
214 <220> FEATURE:
215 <223> OTHER INFORMATION: attL3 core region
217 <400> SEQUENCE: 14
218 acccagcttt cttgtacaaa gttgg 25
221 <210> SEQ ID NO: 15
222 <211> LENGTH: 25
223 <212> TYPE: DNA
224 <213> ORGANISM: Unknown
226 <220> FEATURE:
227 <223> OTHER INFORMATION: attP1 core region
229 <400> SEQUENCE: 15
230 gttcagcttt tttgtacaaa gttgg 25
233 <210> SEQ ID NO: 16
234 <211> LENGTH: 25
235 <212> TYPE: DNA
236 <213> ORGANISM: Unknown
238 <220> FEATURE:
239 <223> OTHER INFORMATION: attP2,P3 core region
241 <400> SEQUENCE: 16
242 gttcagcttt cttgtacaaa gttgg 25
245 <210> SEQ ID NO: 17
246 <211> LENGTH: 39
247 <212> TYPE: DNA
248 <213> ORGANISM: Unknown
250 <220> FEATURE:
251 <223> OTHER INFORMATION: PCR primer used to amplify Dpn I endonuclease from plasmid
253 <400> SEQUENCE: 17
254 ccaccacaaa cgcgtccatg gaattacact ttaatttag 39
257 <210> SEQ ID NO: 18
258 <211> LENGTH: 39
259 <212> TYPE: DNA
260 <213> ORGANISM: Unknown
262 <220> FEATURE:
263 <223> OTHER INFORMATION: PCR primer used to amplify Dpn I endonuclease from plasmid
265 <400> SEQUENCE: 18
266 ccaccacaag tcgacgcatg ccgacagcct tccaaatgt 39
269 <210> SEQ ID NO: 19
270 <211> LENGTH: 46
271 <212> TYPE: DNA
272 <213> ORGANISM: Unknown
274 <220> FEATURE:
275 <223> OTHER INFORMATION: rfl top oligonucleotide
277 <400> SEQUENCE: 19
278 ggccgattac gatatcccaa cgaccgaaaa cctgtatttt cagggt 46
281 <210> SEQ ID NO: 20
282 <211> LENGTH: 30
283 <212> TYPE: DNA
284 <213> ORGANISM: Unknown

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## RAW SEQUENCE LISTING

DATE: 03/23/2004

PATENT APPLICATION: US/10/796,868

TIME: 10:57:50

Input Set : A:\Sequence Listing 0942.285000K.ST25.txt

Output Set: N:\CRF4\03232004\J796868.raw

```

286 <220> FEATURE:
287 <223> OTHER INFORMATION: rf1 bottom oligonucleotide
289 <400> SEQUENCE: 20
290 caggttttcg gtcgttgga tatcgtaatc 30
293 <210> SEQ ID NO: 21
294 <211> LENGTH: 47
295 <212> TYPE: DNA
296 <213> ORGANISM: Unknown
298 <220> FEATURE:
299 <223> OTHER INFORMATION: rf2 top oligonucleotide
301 <400> SEQUENCE: 21
302 ggccagatta cgatatccca acgaccgaaa acctgtattt tcagggt 47
305 <210> SEQ ID NO: 22
306 <211> LENGTH: 31
307 <212> TYPE: DNA
308 <213> ORGANISM: Unknown
310 <220> FEATURE:
311 <223> OTHER INFORMATION: rf2 bottom oligonucleotide
313 <400> SEQUENCE: 22
314 caggttttcg gtcgttgga tatcgtaatc t 31
317 <210> SEQ ID NO: 23
318 <211> LENGTH: 48
319 <212> TYPE: DNA
320 <213> ORGANISM: Unknown
322 <220> FEATURE:
323 <223> OTHER INFORMATION: rf3 top oligonucleotide
325 <400> SEQUENCE: 23
326 ggccaagatt acgatatccc aacgaccgaa aacctgtatt ttcagggt 48
329 <210> SEQ ID NO: 24
330 <211> LENGTH: 32
331 <212> TYPE: DNA
332 <213> ORGANISM: Unknown
334 <220> FEATURE:
335 <223> OTHER INFORMATION: rf3 bottom oligonucleotide
337 <400> SEQUENCE: 24
338 caggttttcg gtcgttgga tatcgtaatc tt 32
341 <210> SEQ ID NO: 25
342 <211> LENGTH: 15
343 <212> TYPE: DNA
344 <213> ORGANISM: Unknown
346 <220> FEATURE:
347 <223> OTHER INFORMATION: carboxy top oligonucleotide
349 <400> SEQUENCE: 25
350 accgtttacg tggac 15
353 <210> SEQ ID NO: 26
354 <211> LENGTH: 31
355 <212> TYPE: DNA
356 <213> ORGANISM: Unknown
358 <220> FEATURE:

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RAW SEQUENCE LISTING ERROR SUMMARY  
PATENT APPLICATION: US/10/796,868

DATE: 03/23/2004  
TIME: 10:57:51

Input Set : A:\Sequence Listing 0942.285000K.ST25.txt  
Output Set: N:\CRF4\03232004\J796868.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:1; N Pos. 18 ✓  
Seq#:2; N Pos. 18 ✓  
Seq#:3; N Pos. 18 ✓  
Seq#:4; N Pos. 18 ✓  
Seq#:5; N Pos. 18 ✓



## VERIFICATION SUMMARY

DATE: 03/23/2004

PATENT APPLICATION: US/10/796,868

TIME: 10:57:51

Input Set : A:\Sequence Listing 0942.285000K.ST25.txt

Output Set: N:\CRF4\03232004\J796868.raw

L:10 M:270 C: Current Application Number differs, Replaced Current Application No  
L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:41 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:0  
L:59 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 after pos.:0  
L:77 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:0  
L:95 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:0  
L:113 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:0